

#### SERC DOCTORAL STUDENT FORUM 2024 | NOVEMBER 13, 2024

Examination of Community Responses to Hurricane Evacuation Orders Using High-Fidelity Mobility Data

#### Harsh Anand





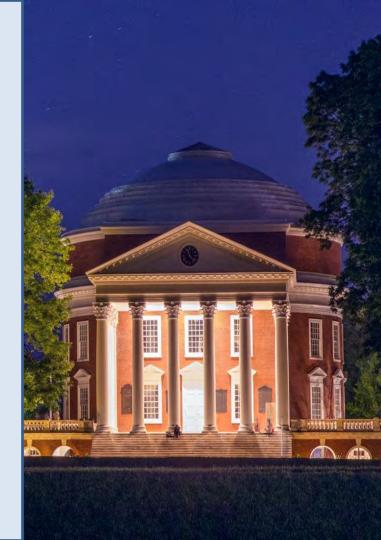
CASS RESEARCH LAB

**Existing Research and Gaps** 

**Research Objectives** 

**Research Tasks** 

**Conclusion and Future Work** 



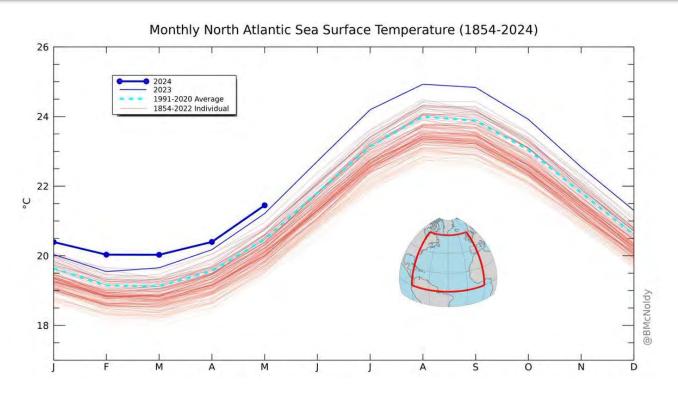


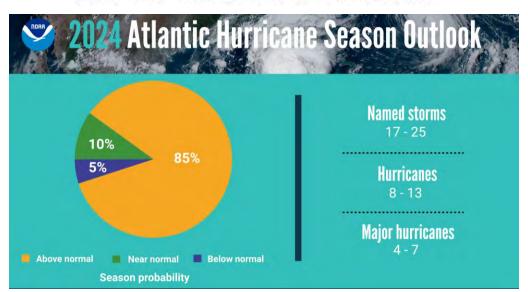
Image sources: https://twitter.com/BMcNoldy/status/1809205990837178580



Search NOAA sites

# NOAA predicts above-normal 2024 Atlantic hurricane season

La Nina and warmer-than-average ocean temperatures are major drivers of tropical activity



Category 5 Hurricane Beryl makes explosive start to 2024 Atlantic season

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ABC News - Breaking News, Latest News and Videos https://abcnews.go.com / live-updates / hurricane-helene

Hurricane Helene updates: Death toll surpasses 230 as ...

More than 230 people have been killed from Hurricane Helene, which unleashed devastation across Florida, Georgia, South Carolina, North Carolina, ...

0

SA Today

https://www.usatoday.com > news > weather > 2024/10/15 :

Hurricane death toll tops 300 lives, with month left in season

Oct 15,2024 — By mid-October, more than 300 deaths have been directly caused by hurricanes during the Atlantic hurricane season, which ends on Nov. 30.

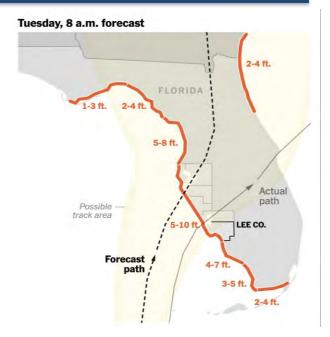
Image sources: NOAA, Weather Channel



Started forming on 23<sup>rd</sup> September 2022



Trajectory on 26<sup>th</sup> September 2022



Trajectory on 27<sup>th</sup> September 2022

Image sources: NYTimes, NOAA

At least 100 dead from Hurricane Ian as Florida's top emergency official defends Lee County over delayed evacuations

Oct. 3, 2022, 12:10 PM EDT / Updated Oct. 3, 2022, 7:25 PM EDT

Weather Updates >

NATION

45 people died in Lee County, where lan made landfall. Did officials do enough?

Jane Musgrave Fort Myers News-Press
Published 11:26 a.m. ET Oct. 4, 2022 | Updated 3:39 p.m. ET Oct. 4, 2022

Facing a Dire Storm Forecast in Florida, Officials Delayed Evacuation

The New Hork Times

WEATHER

Florida officials face questions over the late evacuation order in Lee County

October 3, 2022 · 5:00 AM ET

Florida governor defends the timing of Lee County officials' evacuation ahead of Hurricane Ian

By Andy Rose, Paradise Afshar and Steve Contorno, CNN Updated 2:30 PM EDT. Sun October 2, 2022

Sources: NYTimes, CNN, NPR, Nation, NBC

Would the outcome have been different if the evacuation orders had been sent earlier?

How is evacuation behavior motivated by evacuation orders?

What are the influencing factors behind effective evacuations?

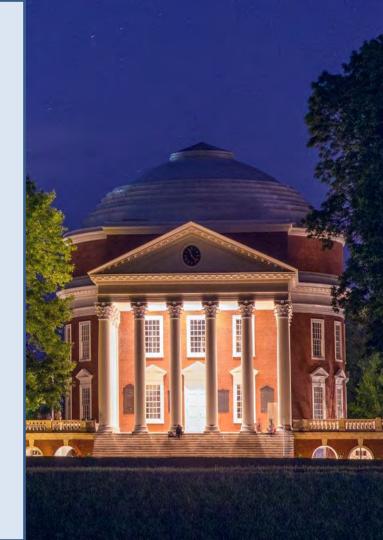
Understanding socio-economic disparities for equitable evacuations.

Existing Research and Gaps

**Research Objectives** 

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# **Evolution of Hurricane Evacuation Decision-Making Research**

#### Historic Attempts of Evacuation Understanding

**Post-event surveys and interviews** [Baker, 1991, Thompson et al., 2017]

Social vulnerabilities (such as income, ethnicity, gender, and race) [Peacock et al., 2012, Murray-Tuite and Wolshon, 2013], Consequences (such as warning and risk perception) [Dash and Gladwin, 2007, Riad et al., 1999], Social influences [Sadri et al., 2017, Ersing et al., 2020], Evacuation

## Stated preference surveys and interviews

orders [Fischer et al., 1995, Meyer et al., 2018]

[Whitehead, 2005, Thompson et al., 2017, Collins 2018]

Limited generalizability and hypothetical biases

[Hong et al., 2020, Younes et al., 201]

#### Contemporary Research

• Geo-tagged social media [Kumar and Ukkusuri, 2018, Roy and Hasan, 2021, Martín et al., 2017, Martín et al., 2020]

Eliminated population bias, suffers from population representativeness

[Martín et al., 2017]

- High-fidelity mobility data [Wang et al., 2020]
- ➤ Population displacement [Wang and Taylor, 2014, Wang and Taylor, 2016]
- ➤ Evacuation patterns and planning improvement [Yabe et al., 2019a, Yin et al., 2020, Chang and Liao, 2015]

Ability to capture higher spatio-temporal granularity.

# **Key Gaps**



The role of evacuation orders and the causal interplay between evacuation orders and decisions remained understudied.



Existing studies often **cover broad areas**, missing targeted, evidence-based insights —a limited **exploration of high-fidelity mobility data**.



**Event-based studies** with **inconsistent study designs** limit the ability to derive broader, generalizable conclusions.

**Literature Review** 

Research Objectives

**Research Tasks** 

**Conclusion and Future Work** 



# **Research Objectives**

01

Developing a Hurricane Evacuation Order Data Repository 02

Evaluating the Effectiveness of Hurricane Evacuation Orders

03

Analyzing
Evacuation
Behavior in
Communities
During
Hurricanes

04

Cross-Hurricane Generalization of Racial and Income Disparities in Evacuation Behavior

Literature Review

**Research Objectives** 

## Research Tasks

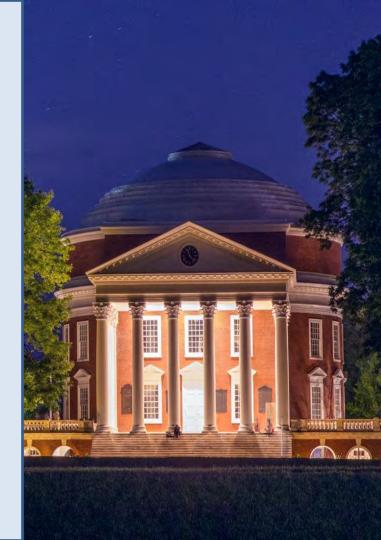
Task 1: Evacuation Order Database

**Task 2: Evacuation Order Effectiveness** 

**Task 3: Communities Evacuation Behavior** 

**Task 4: Cross-Hurricane Disparity** 

**Conclusion and Future Work** 



# **Research Objective**

#### Comprehensive Evacuation Order Database Creation

Collate evacuation orders issued by government officials during hurricane events.



#### Order Type

Can be categorized as either mandatory or voluntary, or the state of emergency.



#### **Announcement Time**

Represents the moment when authorities first announce the evacuation order.



#### **Effective Time**

Indicates when the evacuation order is officially activated and in effect.



#### **Spatial Element**

Defines the specific highrisk areas targeted for evacuation

# Challenges



Evacuation laws and policies



Evacuation orders communication style



Platforms for spreading evacuation orders



Evacuation order archival

# Methodology







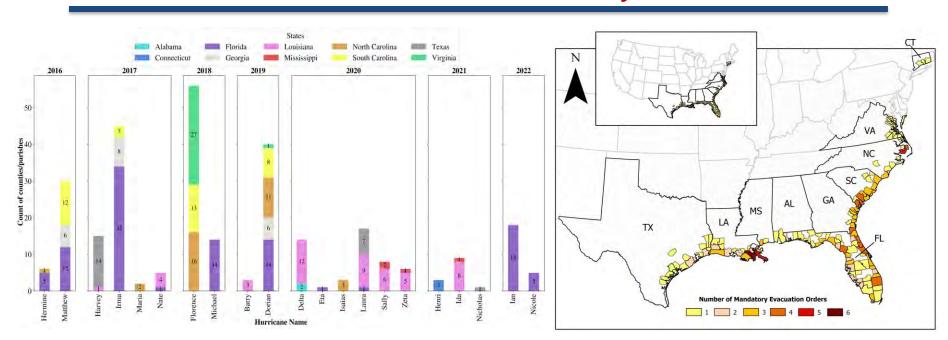
Hurricane Evacuation Order Database (HEvOD)



Hurricane Evacuation Order Database Dashboard

Final Deliverables

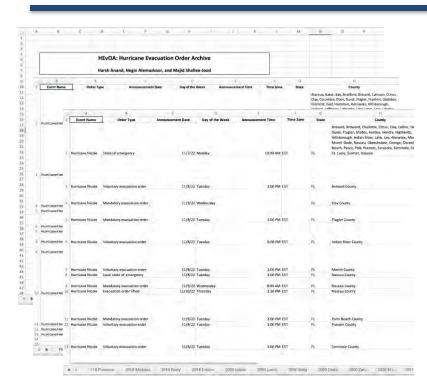
# **HEvOD Data Summary**



Number of counties that issued mandatory evacuation orders

Total number of mandatory evacuation orders issued by each county

## **HEvOD Dataset and Dashboard**



**HEvOD Dataset** 



HEvOD Dashboard for visualization and data downloading

## **HEvOD Publication and Media**



Nature Scientific Data

to identify gaps in current policies, leading to more effective policy design in response to



OVA

Media Announcement

hurricanes.

Literature Review

**Research Objectives** 

## Research Tasks

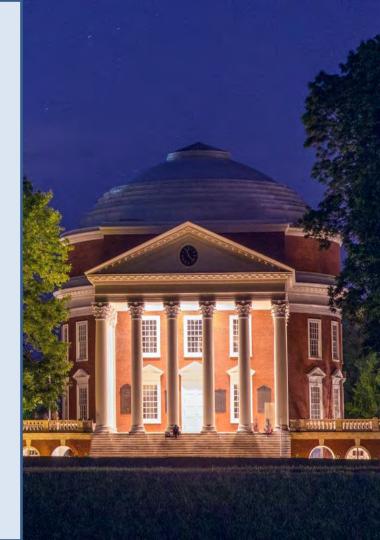
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## **Evacuation Order Related Research**

A statistically significant predictor of evacuation behavior in empirical and behavioral studies [Baker, 1991, Thompson et al., 2017, Bowser, 2015, Martin et al., 2020]

Substantial evidence suggests that households receiving evacuation orders are **more likely to evacuate** when a hurricane is approaching [Whitehead, 2020, Thompson et al., 2017, Bowser, 2015, Mazumder et al., 2018]

Potential reasons for non-compliance with evacuation orders [Dow and Cutter, 1998, Thiede et al., 2013, Reininger et al., 2013, Ling et al., 2021]

No **quantitative understanding** of the relationship between evacuation orders and evacuation behavior

## **Research Questions**

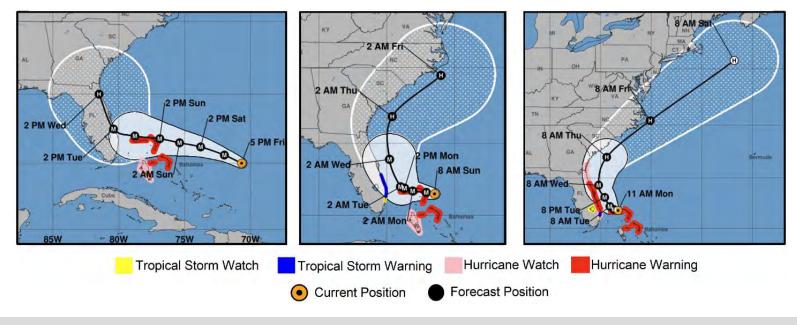
#### How effective are hurricane evacuation orders?

Whether evacuation decisions of communities depend on government-issued evacuation orders?

To what extent did evacuation orders influence evacuation behavior?

## **Hurricane Dorian**

- Category 5 Atlantic Hurricane (24<sup>th</sup> Aug 7<sup>th</sup> Sept 2019)
- In Florida, 12 counties (~600k people) got evacuation orders



## **Data Sources: Mobility Data**

- **spectus**: Location intelligence and measurement company
- Dates: August 1st to October 31st, 2019
- Includes 11,382 census block groups (CBGs) across Florida
- Data fields:
  - Local event date
  - CBG unit number
  - Evacuated devices (or evacuee)
  - Total devices



## **Data Sources: Evacuation Zones and Orders**





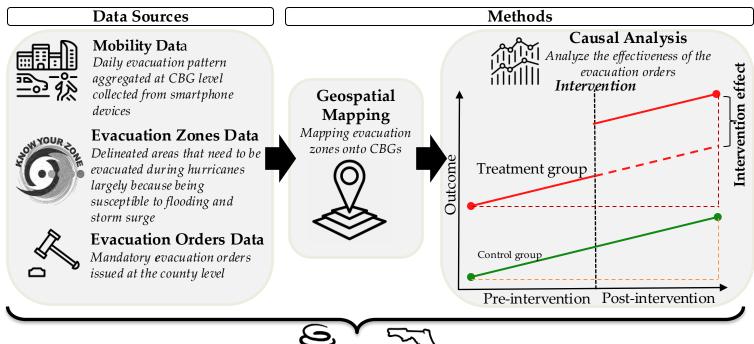
Determine which area in Florida was under an evacuation order

#### **Evacuation Zone Shape Files**

County	Issued Timestamp	Order Date	Regions	Underlying Zones	
Brevard	8/30/19 17:50	8/30/19	Barrier islands, low-lying and flood-prone areas	A, B, C	
Martin	8/30/19 20:05	8/30/19	Barrier islands and Zones A and B	A, B	
Palm beach	9/1/19 09:30	9/1/19	Zones A and B	A, B	
Indian River	9/1/19 11:25	9/1/19	Residents east of U.S. Highway 1	A, B	
St. Johns	9/1/19 13:45	9/1/19	Zones A and B	A, B	
Volusia	9/1/19 14:20	9/1/19	Residents on the beachside, in low-lying areas, and in RVs and mobile homes	A, BC	
St. Lucie	9/1/19 15:30	9/1/19	Mobile homes, low-lying areas and on the barrier island	A, B	
Nassau	9/1/19 17:00	9/1/19	Zones A, C and F	A, C, F	
Duval	9/1/19 17:10	9/1/19	Zones A and B and low-lying areas	A, B	
Flagler	9/1/19 22:40	9/1/19	Nursing homes, assisted living facilities, and group homes within zones A, B, and F, and flood-prone areas.	A, B, F	
Putnam	9/2/19 13:00	9/2/19	Evacuation zone A, persons in low-lying areas living on boats, recreational vehicles, and mobile homes.	A, B	
Clay	9/2/19 15:00	9/2/19	Zones A and B and low-lying areas, and vulnerable housing	A, B	

List of mandatory evacuation orders sent out in Florida counties during Hurricane Dorian. Compiled from HEvOD.

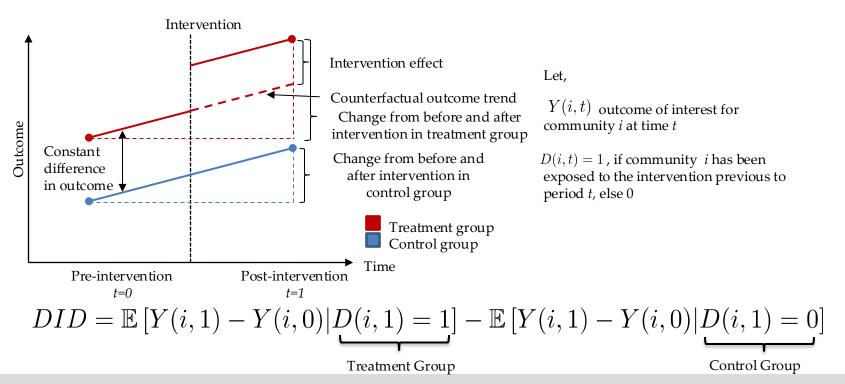
# Methodology





# **Empirical Approach**

#### Difference-in-Differences Approach



# **Empirical Approach (Cont.)**

#### *Two-Way Fixed Effect (TWFE)*

$$Y_{it} = \alpha_i + \mu_{ct} + \sum_{j=-T}^T \beta_j D_{it}^j + \varepsilon_{it}$$
 days since the order has been issued in the treated group (ATT – average treatment on treated)

The average effect of evacuation orders on outcome j

 $Y_{it}$  : outcome of interest for CBG i in day t

 $\alpha_i$ : CBG fixed effect (varies across CBG but not over time within a CBG)

 $\mu_{ct}$ : county-by-day fixed effect (may vary day by day): number of days since the evacuation order has been issued in CBG i: is 1 if CBG i in day t, CBG is j days past the evacuation order date

 $\varepsilon_{it}$  : error term

#### **Staggered DID** [Callaway et al., 2021]

$$ATT(g,t) = \mathbb{E}[Y_{i,t} - Y_{i,g-1}|G_i = g] - \mathbb{E}[Y_{i,t} - Y_{i,g-1}|G_i = C]$$

 $Y_{i,g-1} = G$ : first period when group  $G_i$  received the evacuation order and became treated potential outcome in the period before group  $G_i$  is treated

C: includes CBG that were never treated

Event-study-type estimator for *l* days after evacuation order

$$ATT_l = \sum_g \omega_g ATT(g, g+l)$$

 $\omega_g$  : cohort-specific weight

## **CBG** Identification



**CBG** Shape **Files** 





**Evacuation** Zone Shape **Files** 











CBG Number	County	A	В	вс	C	D	DE		Mandatory Evacuation Zone %	
12009060101	1 Brevard	16.3	18.27	0	31.88	14.13	0	15.04	66.45	Treatment
120090601012	2 Brevard	5.84	26.62	0	25.06	9.01	0	7.89	57.52	Treatment
120090601013	3 Brevard	10.05	24.31	0	26.27	2.56	0	5.35	60.63	Treatment
12009060102	1 Brevard	0	0	0	0	0	0	4.09	0	Control
120090603002	2 Brevard	9.54	18.01	0	17.89	3.85	0	8.98	45.44	Contaminated

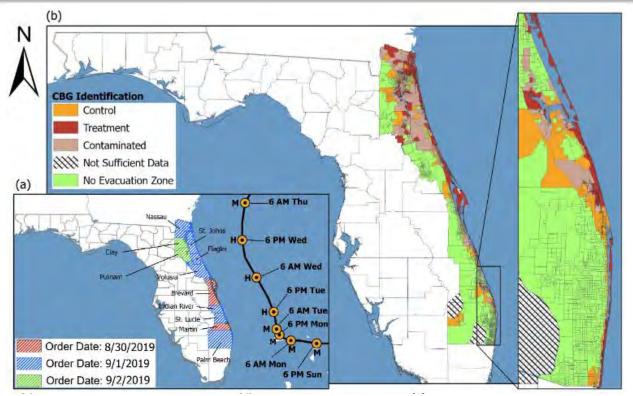
**GIS Mapping** 

**Mapping Matrix** 

	Total CBGs	Control CBGs		Contaminated CBGs		Treatment CBGs		CBGs with No Evacuation Zone		CBGs with Insufficient Mobility Data	
County											
		Count	%	Count	%	Count	%	Count	%	Count	%
Brevard County	317	45	14.2	50	15.8	94	29.7	127	40.1	1	0.3
Clay County	81	19	23.5	26	32.1	17	21.0	18	22.2	1	1.2
Duval County	489	111	22.7	125	25.6	82	16.8	171	35.0	O	0.0
Flagler County	51	8	15.7	7	13.7	18	35.3	18	35.3	O	0.0
Indian River County	92	12	13.0	4	4.3	30	32.6	46	50.0	O	0.0
Martin County	93	25	26.9	37	39.8	8	8.6	22	23.7	1	1.1
Nassau County	39	2	5.1	10	25.6	23	59.0	4	10.3	O	0.0
Palm Beach County	885	115	13.0	25	2.8	60	6.8	683	77.2	2	0.2
Putnam County	61	3	4.9	19	31.1	7	11.5	31	50.8	1	1.6
St. Johns County	81	3	3.7	29	35.8	46	56.8	2	2.5	1	1.2
St. Lucie County	140	0	0.0	17	12.1	21	15.0	102	72.9	O	0.0
Volusia County	288	13	4.5	27	9.4	127	44.1	121	42.0	O	0.0
Total	2617	356	13.6	376	14.4	533	20.4	1345	51.4	7	0.3

**CBG Identification Count** 

## **CBG** Identification (Cont.)



CBGs highlighted by (a) Order date, and (b) CBG identification.

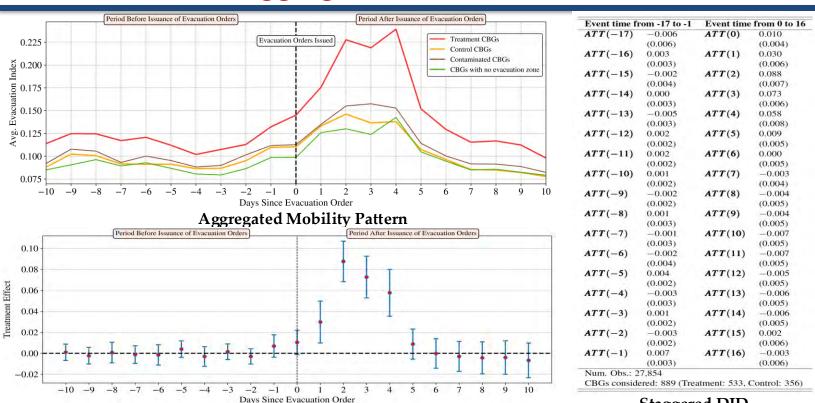
# Causal Effect Analysis Results Overview

- Main Analysis:
  - Staggered DID considers Treatment and Control
    - Aggregated across CBGs
    - Based on order dates
- Evidence: Staggered DID considers Control and No Zone
  - Aggregated across CBGs
  - Based on order dates
- Robustness Analysis:
  - TWFE: Aggregated across CBGs and Based on order dates
  - Staggered DID with modified control (includes Contaminated CBGs)

## Causal Effect Analysis Results Overview

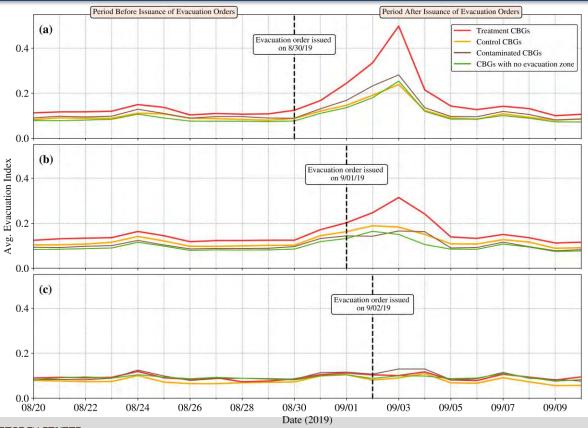
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# **Aggregated across CBGs**

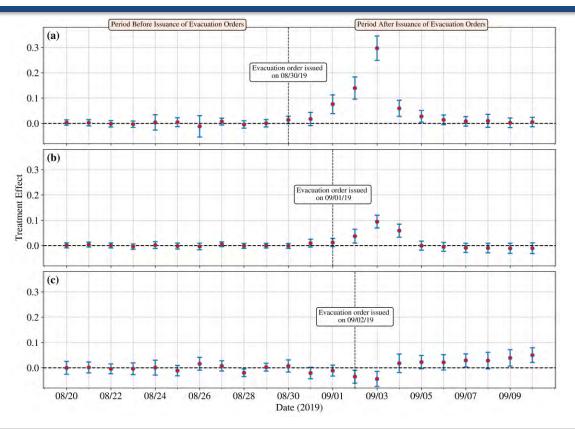


**Staggered DID Treatment Effect** 

# **Mobility Pattern for Three Order Dates CBGs**



# **Staggered DID Result for Three Order Dates CBGs**



## **Conclusions**

- Evacuation orders have a statistically significant effect on increasing evacuation rates, with an overall treatment effect of about 8% points
- Significant variations across groups of counties that received orders on different days
- Shadow evacuation during Hurricane Dorian in Florida was not significant

## **Publication (Under Review)**

Harsh Anand, Mani Rouhi Rad, Negin Alemazkoor, and Majid Shafiee-Jood. "Unveiling the Truth: How Effective are Hurricane Evacuation Orders? Insights from Hurricane Dorian in Florida." Currently UNDER REVIEW at *Bulletin of the American Meteorological Society*.



Harsh Anand



Dr. Mani Rouhi Rad



Dr. Negin Alemazkoor



Dr. Majid Shafiee-Jood

## **Introduction and Motivation**

Literature Review

**Research Objectives** 

## Research Tasks

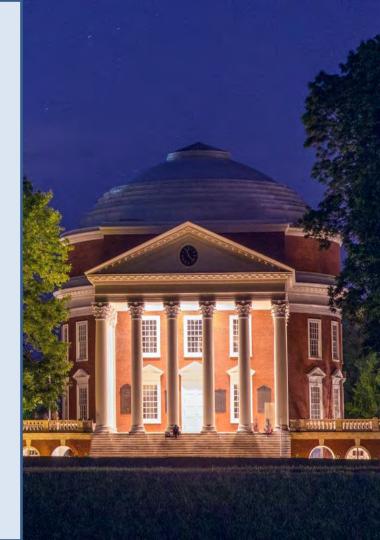
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# **Research Question**



Examine how socio-economic and demographic factors affect the evacuation decisions of communities in response to evacuation orders

# Methodology

#### **Data Sources**



#### **Mobility Data**

Location-based evacuation data per CBG for each day



#### **Evacuation Zones Data**

Official split of areas vulnerable to storm surge used by local/state emergency managers to direct order



#### **Evacuation Orders Data**

The historic evacuation orders issued by the government officials



#### Socio-demographic Data

5-year estimate of American Community Survey about gender, age, income, and ethnicity characteristics of the US

#### **Causal Inference**



#### Causal Analysis

Analyze the effectiveness of the evacuation orders



### Statistical Significance

The average percentage of evacuation caused due to evacuation orders

#### **Feature Extraction**



#### **ML-based Feature Analysis**

Analyze the socio-demographic factors contributing to compliance with evacuation orders



# **Community Pattern** Factors

The key factors contributing to the variations in evacuation rates across different community population





Hurricane Dorian Florida

# **Causal Effect Analysis**

## Difference-in-Differences Approach

#### Linear parametric model:

```
Y(i,t) = \mu + \tau D(i,1) + \gamma t + \alpha D(i,t) + \epsilon(i,t) Y(i,t) \text{ is the output of community } i \text{ at time } t \mu \text{ is the constant factor} \tau \text{ group-specific time-invariant effect} \gamma \text{ time effect} \alpha \text{ is intervention effect} \epsilon(i,t) \text{ are unobservable characteristics of the individual}
```

#### Least squares estimator of $\alpha$ can be estimated as:

$$\alpha = \{ \mathbb{E} [Y(i,1)|D(i,1) = 1] - \mathbb{E} [Y(i,1)|D(i,1) = 0] \}$$
$$- \{ \mathbb{E} [Y(i,0)|D(i,1) = 1] - \mathbb{E} [Y(i,0)|D(i,1) = 0] \}$$

# **Causal Effect Regression Result**

## Regression Analysis

	coef	std err	t-value	P> t-value
(Intercept)	0.069	0.002	33.446	0.000
D(i,1)	0.047	0.004	11.469	0.000
t	0.019	0.003	6.460	0.000
D(i,t)	0.082	0.006	14.184	0.000

**Regression Result** 

# Socio-economic and Demographic Feature Association

## **CBGs Behavior Split**

CBG evacuation behavior

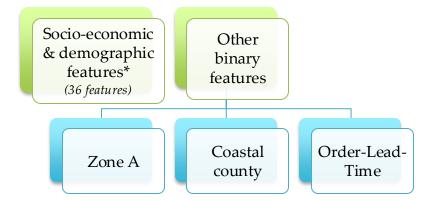
Highly compliant (>9.3%)

Minimally compliant (<9.3%)

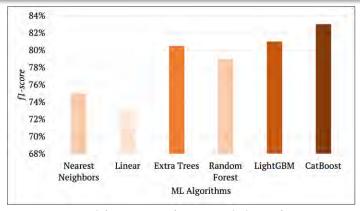
County	Highly-compliant	Mildly-compliant	
Brevard	84	8	
Clay	0	17	
Duval	12	67	
Flagler	10	8	
Indian River	22	5	
Martin	8	0	
Nassau	15	8	
Putnam	0	7	
Palm Beach	17	33	
St. Johns	34	12	
St. Lucie	13	7	
Volusia	45	77	
Total	260	249	

<sup>\*</sup> Does not include CBGs with insufficient data.

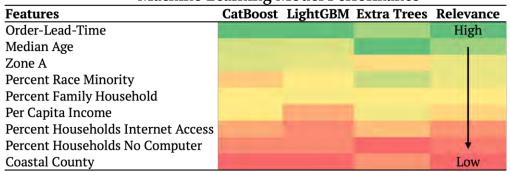
#### **Features Considered During Modeling**



# Socio-economic and Demographic Feature Modeling

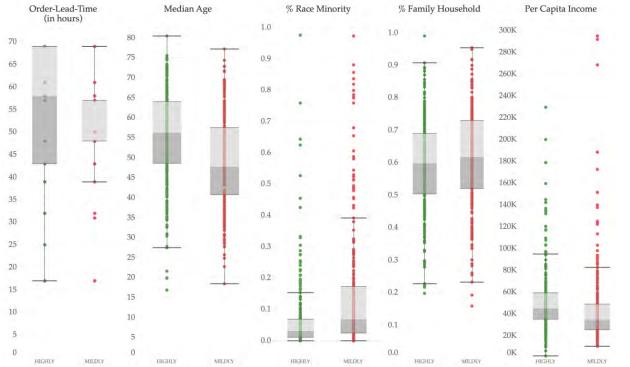


**Machine Learning Model Performance** 



**Relevant Features** 

# Significant Socio-economic and Demographics Factors



Boxplot of significant socio-economic and demographic factors for CBGs with highly- and mildly-compliant evacuation.

## **Conclusions**

- Early evacuation orders in relation to the Order-Lead-Time of the hurricane relate to higher evacuation after the order.
- CBGs with younger median age and higher racial minority populations should be looked at closely
- CBGs with Zone A experience higher evacuation than CBGs without Zone A

## **Publication**

Conferences > 2023 57th Annual Conference o... 3



## Perspicuity of Evacuation Behavior in Communities during Hurricanes using Largescale Mobility Patterns and Communal Characteristics

Publisher: IEEE

Cite This



Harsh Anand; Majid Shafiee-Jood; Negin Alemazkoor All Authors



Harsh Anand



Dr. Majid Shafiee-Jood



Dr. Negin Alemazkoor

## **Introduction and Motivation**

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## Research Tasks

**Task 1: Evacuation Order Database** 

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# **Existing Income and Race Disparity Literature**

## **Relationship with Evacuation Decision**

# Survey and Interviews, and Social Media Data Income: Positive, Negative, and No Correlation Race: Significant and Insignificant Predictors Variations in Disparity Findings Income: Positive, and Negative (1) Race: Significant Consistent Disparity Findings

Findings are limited in terms of comparability and generalizability

**Examines Single Case** 

**Employs Distinct Study Design** 

**Selection of Study Areas** 

# **Research Questions**



If a consistent study design is applied, would we be able to reach a **general conclusion** on how race and income impact evacuation behavior?



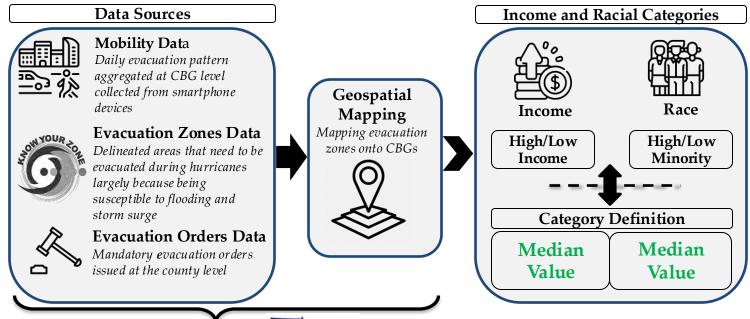
To what extent do different **study designs** cause these variations?

## **Hurricanes Consideration**

Hurricane	State	Start Date	End Date
Florence	North Carolina	August 31, 2018	September 17, 2018
Florence	South Carolina	August 31, 2018	September 17, 2018
Florence	Virginia	August 31, 2018	September 17, 2018
Dorian	Florida	August 24, 2019	September 10, 2019
Dorian	North Carolina	August 24, 2019	September 10, 2019
Dorian	South Carolina	August 24, 2019	September 10, 2019
Laura	Louisiana	August 20, 2020	August 29, 2020
Laura	Texas	August 20, 2020	August 29, 2020
Sally	Louisiana	September 11, 2020	September 14, 2020
Delta	Louisiana	October 4, 2020	October 10, 2020
Ida	Louisiana	August 26, 2021	September 5, 2021
Ida	Mississippi	August 26, 2021	September 5, 2021
Ian	Florida	September 23, 2022	September 30, 2022

- Comparison across 7 hurricanes
- 12 hurricane cases across 6 states

# Methodology





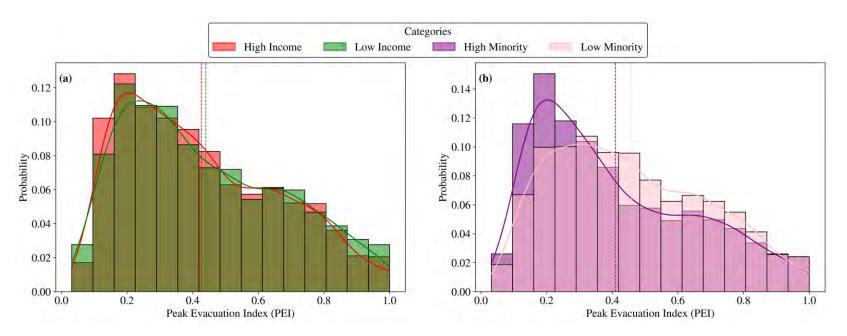




**Across Multiple** Hurricanes



# **Income and Race Disparity Across All Hurricanes**



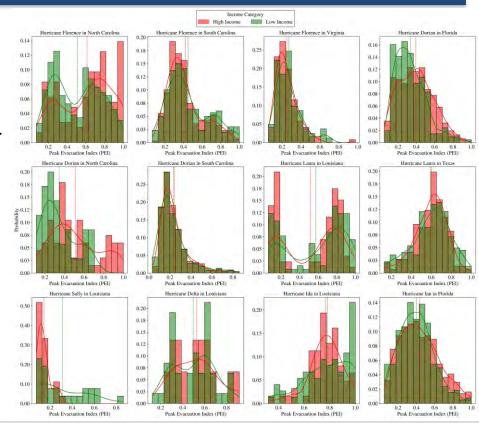
No significant statistical difference

No significant statistical difference

# A Closer Look at Income Disparity

## **Variety of Patterns Across Hurricanes**

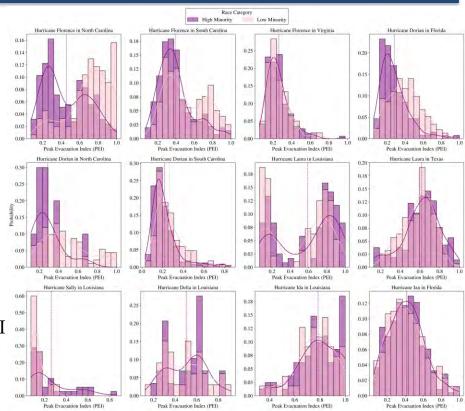
- Seven cases reveal no significant statistical differences in evacuation rates.
- In five cases, significant differences emerge
  - In **four** instances, High-Income groups had higher average PEIs
  - In one case, Low-Income CBGs had significantly higher average PEI



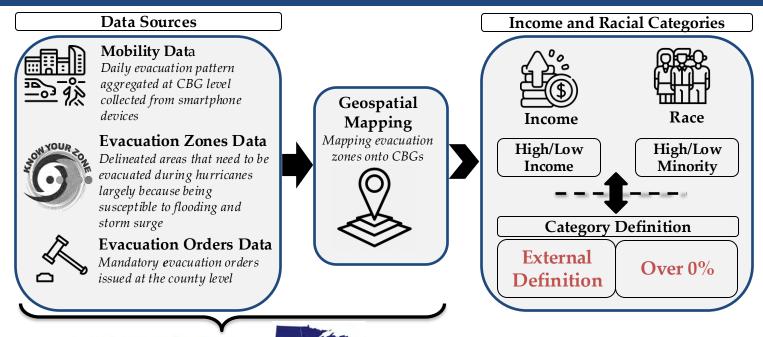
# A Closer Look at Race Disparity

## **Variety of Patterns Across Hurricanes**

- Six cases reveal no significant statistical differences in evacuation rates.
- In six cases, significant differences emerge
  - In **five** instances, Low-Minority groups had higher average PEIs
  - In one case, High-Minority CBGs had significantly higher average PEI



# Methodology









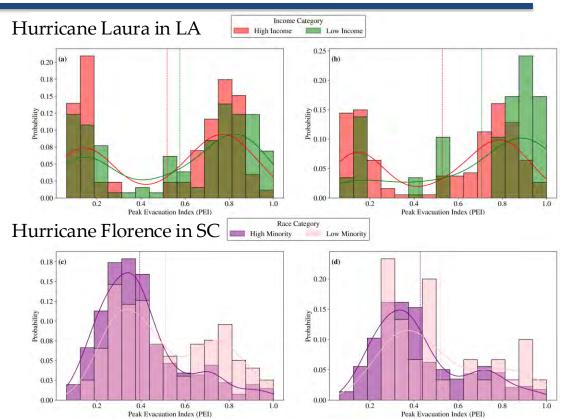


Across Multiple Hurricanes

# Impact of Study Design: Change in Definition

## **Significant Shift Seen Across**

- Income: Non-significant disparities shifted to being significant
- Race: Shift from significant to non-significant disparities



# Methodology

#### **Data Sources Income and Racial Categories Mobility Data** Daily evacuation pattern aggregated at CBG level collected from smartphone Geospatial devices Race Income Mapping **Evacuation Zones Data** Mapping evacuation High/Low High/Low zones onto CBGs Delineated areas that need to be Income Minority evacuated during hurricanes largely because being susceptible to flooding and storm surge **Category Definition Evacuation Orders Data** Median Median Mandatory evacuation orders issued at the county level Value Value







Across Multiple
Hurricanes

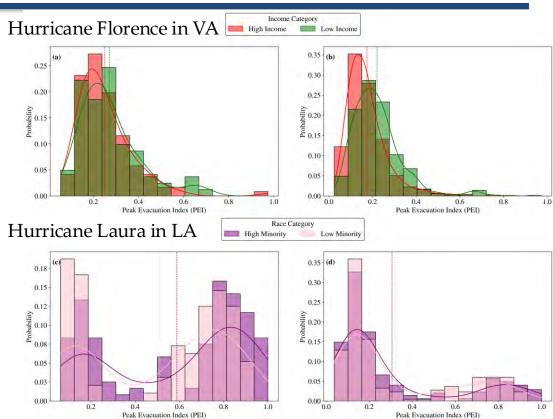
Across Multiple
States

Include all CBGs in the counties affected by evacuation orders.

# Impact of Study Design: Change in Boundary of Focus Area

## **Significant Shift Seen Across**

- Income: Non-significant disparities shifted to being significant
- Race: Shift from significant to non-significant disparities



## **Conclusions**

- Systematically evaluated income and racial disparities in communities affected by multiple hurricanes
- Disparities in evacuation among different socioeconomic groups vary case by case
- Study design significantly impacts the observed trends within a single case

# **Publication (Accepted)**

**Harsh Anand**, Samarth Swarup, Majid Shafiee-Jood, and Negin Alemazkoor. "Understanding of income and race disparities in hurricane evacuation is contingent upon study case and design." Manuscript ACCEPTED at *Nature Scientific Report*.



Harsh Anand



Dr. Samarth Swarup



Dr. Majid Shafiee-Jood



Dr. Negin Alemazkoor

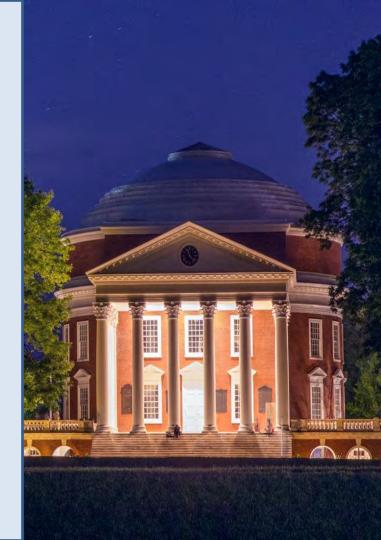
**Introduction and Motivation** 

**Literature Review** 

**Research Objectives** 

**Research Tasks** 

Conclusion and Future Work



## **Contributions**



A comprehensive, high-temporal-resolution repository of evacuation orders



Investigated the **causal relationship** between mandatory evacuation orders and observed community mobility patterns, using high-fidelity mobility data



Explores how **socioeconomic and demographic factors** affect the evacuation decisions of communities



Explore **disparity variations** by comparing evacuation patterns across multiple hurricanes

# **Broader Impact**



Informing Policy & Advancing Research



Enhanced Understanding & Improved Strategies



Addressing Disparities to Create Inclusive Evacuation Frameworks

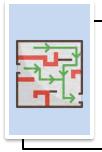
## **Future Plans**



Advance HEvOD



Expand Evacuation Order Effectiveness Analysis



Evaluate Policies related to Evacuation Zones



# Thank you!

Stay connected with SERC Online:







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